

CMS Developer Meeting:
New HUD 9902 and Client Data Requirements Starting FY 2015

Audio line: (888) 876-6903
Conference Code: 7440900885 #

In order to not interrupt the meeting:

- 1.) Put your phone on MUTE, unless you have a question.
- 2.) Do not put this conference call on HOLD.

Agenda

- POC Confirmation
- Demographic Data Requirements (9902 Sections 3 – 7)
- Counseling Data Requirements (9902 Sections 8 – 10)
- HUD Attributed Data
- Projection Data
- CMS Password
- New ARM Environment
- Updated XML Schema and Reference Items

Demographic Data

Section 3: Ethnicity

- No changes
- Same reference values

3. Ethnicity of Households	
a.	Hispanic
b.	Not Hispanic
c.	Chose not to respond
Section 3 Total:	

Demographic Data

Section 4: Race

- No changes
- Same reference values

4. Race of Households	
Single Race	
a.	American Indian/Alaskan Native
b.	Asian
c.	Black or African American
d.	Native Hawaiian or Other Pacific Islander
e.	White
Multi-Race	
f.	American Indian or Alaska Native <i>and</i> White
g.	Asian <i>and</i> White
h.	Black or African American <i>and</i> White
i.	American Indian or Alaska Native <i>and</i> Black or African American
j.	Other multiple race
k.	Chose not to respond
Section 4 Total:	

Demographic Data

Section 5: Income Levels

- New values:
 - < 30% of Area Median Income (AMI)
 - 30 – 49% of AMI
- Retired for 2015:
 - < 50% of Area Median Income (AMI)
- New reference items for all values for 2015.

5. Income Levels	
a.	< 30% of Area Median Income (AMI)
b.	30 - 49% of AMI
c.	50 - 79% of AMI
d.	80 - 100% of AMI
e.	> 100% AMI
f.	Chose not to respond
Section 5 Total:	

Demographic Data

Section 6: Rural Area Status

- New section with the following values:
 - Household lives in a rural area
 - Household does not live in a rural area
 - Chose not to respond

6. Rural Area Status	
a.	Household lives in a rural area
b.	Household does not live in a rural area
c.	Chose not to respond
Section 6 Total:	

Demographic Data

Section 6: Rural Area Status

Rural areas are defined by the U.S. Department of Agriculture at 7 CFR 3550.10. The USDA Income and Property Eligibility website for Single Family Housing programs can serve as a guide for determining whether or not a household lives in a rural area. Go to www.USDA.gov.

Demographic Data

Section 7: Limited English Proficiency Status

- New section with the following values:
 - Household is Limited English Proficient
 - Household is not Limited English Proficient
 - Chose not to respond

7. Limited English Proficiency Status	
a.	Household is Limited English Proficient
b.	Household is not Limited English Proficient
c.	Chose not to respond

Demographic Data

Section 7: Limited English Proficiency Status

Individuals who do not speak English as their primary language and who have a limited ability to read, speak, write, or understand English can be limited English proficient, or “LEP.” These individuals may be entitled language assistance with respect to a particular type or service, benefit, or encounter. For additional information, see www.lep.gov.

7. Limited English Proficiency Status	
a.	Household is Limited English Proficient
b.	Household is not Limited English Proficient
c.	Chose not to respond

Counseling Data

Section 8: Households Receiving Group Education, by Purpose

- Replaces the current section 6 - Clients Receiving Education/Group Sessions
- New Values:
 - Financial literacy, including home affordability, budgeting and understanding use of credit
 - Predatory lending, loan scam or other fraud prevention
 - Fair Housing
 - Homelessness prevention
 - Rental
 - Pre-purchase homebuyer education
 - Non-Delinquency Post-Purchase Home Maintenance and/or Financial Management for Homeowners
 - Resolving or Preventing Mortgage Delinquency
 - Other

Counseling Data

Section 8: Households Receiving Group Education, by Purpose

8. Households Receiving Group Education, by Purpose	
a.	Completed financial literacy workshop, including home affordability, budgeting and understanding use of credit
b.	Completed predatory lending, loan scam or other fraud prevention workshop
c.	Completed fair housing workshop
d.	Completed homelessness prevention workshop
e.	Completed rental workshop
f.	Completed pre-purchase homebuyer education workshop
g.	Completed non-delinquency post-purchase workshop, including home maintenance and/or financial management for homeowners
h.	Completed resolving or preventing mortgage delinquency workshop
i.	Completed other workshop
Section 8 Total:	

Counseling Data

Section 9: Households Receiving One-on-One Counseling, by Purpose

- Replaces the current section 7 - Numbers of Clients Counseled, by Purpose of Visit and Results
- No longer divided up into sub-sections by outcome.
- No longer report on the outcome of the one-on-one counseling.

Counseling Data

Section 9: Households Receiving One-on-One Counseling, by Purpose

- New Values for 2015:
 - Homeless Assistance
 - Rental Topics
 - Prepurchase/Homebuying
 - Home Maintenance and Financial Management for Homeowners (Non-Delinquency Post-Purchase)
 - Reverse Mortgage
 - Resolving or Preventing Mortgage Delinquency or Default

Counseling Data

Section 9: Households Receiving One-on-One Counseling, by Purpose

9. Households Receiving One-on-One Counseling, by Purpose	
a.	Homeless Assistance
b.	Rental Topics
c.	Prepurchase/Homebuying
d.	Home Maintenance and Financial Management for Homeowners (Non-Delinquency Post-Purchase)
e.	Reverse Mortgage
f.	Resolving or Preventing Mortgage Delinquency or Default
Section 9 Total:	

Counseling Data

Section 10: Impact and Scope of One-on-One Counseling Services

- New Section
- Reporting of the impacts and scopes of the one-one-one counseling services.
- Each one-on-one counseling activity reported in section 9 can have multiple impacts.

Counseling Data

Section 10: Impact and Scope of One-on-One Counseling Services

10. Impact and Scope of One-on-One Counseling Services	
a.	Households that received one-on-one counseling that also received group education services.
b.	Households that received information on fair housing, fair lending and/or accessibility rights.
c.	Households for whom counselor developed a sustainable household budget through the provision of financial management and/or budget services.
d.	Households that improved their financial capacity (e.g. increased discretionary income, decreased debt load, increased savings, increased credit score) after receiving Housing Counseling Services.
e.	Households that gained access to resources to help them improve their housing situation (e.g. down payment assistance, rental assistance, utility assistance, etc.) after receiving Housing Counseling Services.
f.	Households that gained access to non-housing resources (e.g. social service programs, legal services, public benefits such as Social Security or Medicaid, etc) after receiving Housing Counseling Services.

Counseling Data

Section 10: Impact and Scope of One-on-One Counseling Services - continued

10. Impact and Scope of One-on-One Counseling Services	
g.	Homeless or potentially homeless households that obtained temporary or permanent housing after receiving Housing Counseling Services.
h.	Households that received rental counseling and avoided eviction after receiving Housing Counseling Services.
i.	Households that received rental counseling and improved living conditions after receiving Housing Counseling Services.
j.	Households that received prepurchase/homebuying counseling and purchased housing after receiving Housing Counseling Services.
k.	Households that received reverse mortgage counseling and obtained a Home Equity Conversion Mortgage (HECM) after receiving Housing Counseling Services.

Counseling Data

Section 10: Impact and Scope of One-on-One Counseling Services - continued

10. Impact and Scope of One-on-One Counseling Services	
l.	Households that received non-delinquency post-purchase counseling that were able to improve home conditions or home affordability after receiving Housing Counseling Services.
m.	Households that prevented or resolved a mortgage default after receiving Housing Counseling Services.

Section Total Validation

- ARM currently validates the section totals are equal using the formula:

Section 3 = Section 4 = Section 5 = (Section 6 + Section 7)

- Validation will be changing to:

Section 3 = Section 4 = Section 5 = Section 6 = Section 7 =
(Section 8 + Section 9)

- Section 10 is not included in the section total validation.

HUD Attributed

- Agency's will continue to report their **All Counseling and Education Activities**.
- ARM will continue to use the activity_type_id of 4 to identify this category.

		All Counseling and Education Activities	HUD Housing Counseling Program Grantees Only	
			Counseling and Education Activities Attributed to HUD Housing Counseling Grant Funds – Actual, to date.	Counseling and Education Activities Attributed to HUD Housing Counseling Grant Funds – Annual Projection
3. Ethnicity of Households				
a.	Hispanic			
b.	Not Hispanic			
c.	Chose not to respond			
Section 3 Total:				

HUD Attributed

- ARM will be updated to allow for the HUD Attributed data to be submitted.

		All Counseling and Education Activities	HUD Housing Counseling Program Grantees Only	
			Counseling and Education Activities Attributed to HUD Housing Counseling Grant Funds – Actual, to date.	Counseling and Education Activities Attributed to HUD Housing Counseling Grant Funds – Annual Projection
3. Ethnicity of Households				
a.	Hispanic			
b.	Not Hispanic			
c.	Chose not to respond			
Section 3 Total:				
4. Race of Households				

HUD Attributed

- Agency's currently manually report the counseling activities that are attributed to their HUD grant funding.
- HUD collects this to be specific to the HUD NOFA and grant type.
- Agencies may have multiple NOFA and grant type combinations applicable to a specific 9902 reporting period.

HUD Attributed

Exp: Agency XYZ received an extension on their 2013 Comprehensive grant that allowed them to use the funds until March 31, 2014. The same agency also received an award from the 2014 NOFA for another Comprehensive grant. In this case the agency will have to submit in their 2014 9902s:

- HUD Attributed Activities specific to the 2013 Comprehensive Grant
- HUD Attributed Activities specific to the 2014 Comprehensive Grant

Each of these combinations will have a unique activity_type_id available.

HUD Attributed

- The ARM activity types will be added to the reference items.
- When new grants are awarded by HUD, new activity types will be added to the reference items.
- Agency's may need to retroactively report HUD Attributed 9902 figures after they receive an award.
- Not required of all agencies.

HUD Projection

- HUD will require Grant Awardees to submit an annual 9902 projection.
- **Not submitted through CMS.**
- **Manually entered and maintained on HCS.**

		All Counseling and Education Activities	HUD Housing Counseling Program Grantees Only	
			Counseling and Education Activities Attributed to HUD Housing Counseling Grant Funds – Actual, to date.	Counseling and Education Activities Attributed to HUD Housing Counseling Grant Funds – Annual Projection
3. Ethnicity of Households				
a.	Hispanic			
b.	Not Hispanic			
c.	Chose not to respond			
Section 3 Total:				

CMS Password

- CMS will be required to submit a password in addition to their CMS ID for each submission.
- For the new version of ARM only.
- The passwords will be communicated to the primary CMS POC once the new ARM pilot environment is ready.

New ARM Environment

- A new version of ARM is in the process of being developed to allow for the new XML schema and reference items for 9902 and client data submissions.
- New ARM environment for new version only.
- Support of the current ARM environment and version will only continue as long as HUD allows for FY 2014 submissions.

New ARM Environment

- 9902 Reporting after 10/01/2014:
 - 2014 submissions sent using current version of ARM to current ARM location.
 - 2015 submissions sent using new version of ARM (ARM 5.0) to new ARM location.
 - All CMS must be updated and tested in the new version before they can submit for 2015.

XML Schema and Reference Items

- 9902 and client data requirements affected by the changes.
- Agency and counselor data requirements are not changing.
- Updated XML Schema and Reference Items.